Gresham

CONTROL FOR REGULATORY
(Formerly Clareti regulatory control)

Regulatory reporting, control, and connectivity for real-time data and fast compliance

Regulation is growing in complexity and volume, putting pressure on legacy regulatory reporting systems and processes.

The consequences of inaccuracies are severe and with regulators holding senior managers personally responsible for a firm's mistakes, you need data you can trust to feed your compliance and regulatory reporting.

Control for regulatory is a reporting, control, and connectivity solution which ingests data without any external preparation or manipulation, regardless of source or format.

Delivering

Simple compliance with complex rules: Connect, validate, reconcile and report all from one end to end solution.

Easy, powerful automation: Consolidate and automate reporting activity, reducing manual tasks, costs, and risk.

Fast, accurate reporting: High quality, accurate data, delivered in real-time.

Increased efficiency and cost savings: Simplified reporting and managed connectivity to any trading venue or regulatory end point avoid the need for costly, inhouse IT projects.

The Control difference

⊹ **Consume any data from any where** Ingest, validate, and transform large volumes of data from multiple sources, regardless of type, width or complexity, volume or velocity – no re-formatting required.

⊹ **Apply and classify data quality checks,** to submit accurate, high-quality and timely data.

⊹ **Complete trade and transaction data** that is automatically reconciled and validated in real time.

⊹ **N-way reconciliation and validation** of all data attributes give you front to back control.

⊹ **Easily identify in-scope trades** Data enrichment and external reference data sources enable you to navigate complex reporting rules for eligibility determination.

⊹ **Create and deploy new controls within days** to ensure tight oversight of data and meet complex, frequently changing regulatory requirements.

⊹ **View all data and controls in one place.** Easily create interactive visualisations, dashboards and reports for greater insight and business value, taking reporting from check box exercise to strategic value add.

⊹ **Build faster, automate more, and minimise infrastructure consumption** whilst still meeting regulatory requirements effectively.

> Comply with complex regulatory requirements quickly and efficiently across multiple jurisdictions.

Simplifying regulatory obligations across your business

We support buy-side and sell-side firms not only to comply with emerging regulations but also to reduce the costs, risks, and effort associated with existing reporting programs.

Regulations that we support include:

- FINRA Consolidated Audit Trail (CAT)
- MAS OTC Derivatives Reporting
- Securities Financing Transactions Regulation (SFTR)
- FINRA TRACE
- EMIR
- MiFID II
- Dodd Frank

Powered by the Clareti platform

A modern, purpose-built, data integrity and control platform designed by industry experts, the Clareti platform is built for a cloud-native environment by technology leaders with decades of industry experience. It brings data confidence to organisations around the world and has been successfully adopted across banking, investment and wealth management firms, insurance, and by complex multi-nationals.

Deployed in your cloud, their cloud, our cloud, or on-premise – we know how important flexibility is, it's always up to you.

$35 million
invested in building the Clareti platform.

17%
of the world's financial assets processed by Gresham's Connect and Control solutions.

80+
connectors to regulatory and trading venues around the world, with more in development.

380 million
equities processed daily by Control for regulatory.

Discover how Gresham's Control solutions are delivering digital integrity to firms across the globe here