

Get ready now for consolidated audit trail compliance with Inforalgo's cloud-based Regulatory Reporting Hub Reg Flex



- End-to-end order tracking
- Manage multiple data sources with ease
- Ready-to go adapters for all the major trading and OMS platforms
- Smart automation, determination and eligibility rules tailored to your trading landscape

Regulatory reporting has become a significant undertaking in Capital Markets and new Consolidated Audit Trail requirements, due to apply from April 2020 as mandated by Rule 613 of the US Securities Exchange Commission (SEC), are among the most demanding yet.

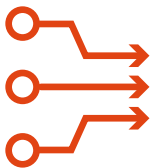
Consolidated Audit Trail (CAT) will allow regulators to more efficiently monitor eligible equity and option securities trading activity throughout US markets via a single, comprehensive database. It requires that orders and broker-dealer activity are tracked right across the trade lifecycle.

The new requirements will replace the current Order Audit Trail System (OATS), and incorporate elements of existing regulations, eg Electronic Blue Sheets (EBS) and Large Trader Reporting (LTR). CAT will be far more comprehensive though, requiring greater precision in how events and times are captured.

The option to perform big-data analytics on all of this extensive, clean, harmonised data about client, exchange and counter-party activity offers firms an unprecedented opportunity to turn regulatory obligations into strategic business value.

Inforalgo's cloud-based Regulatory Reporting Hub Reg Flex will make light work of this extensive data management requirement, because it has been designed to manage and automate the delivery of ALL reporting requirements - irrespective of the platforms, data sources and formats involved.

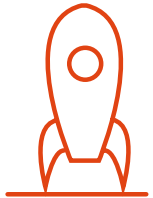
Benefits at a glance:



Consolidate and streamline current & legacy regulatory requirements such as Order Audit Trail System (OATS), Electronic Blue Sheets (EBS) and Large Trade Reporting (LTID), in advance of CAT.



Avoid reinventing the wheel and meet the compliance timeline. Inforalgo's Regulatory Reporting Hub Reg Flex provides a single point of management across multiple regimes where the cost and effort of maintaining disparate solutions across fragmented markets is ultimately increasing.



Powerful ready-to-go capabilities that can be customised quickly and effortlessly to reflect your own trading environment and data stores



Proactive validation of data prior to submission minimises error submissions and maximises operational efficiency



Big-data analytics capabilities can be used to gain insights from the new data set that provide a strategic advantage

Immediacy:

- o Automatic checks of data accuracy and completeness as transactions happen
- o Real-time data capture & management ensures report delivery within tight timescales
- o Real-time reconciliation reduces end-of-day exception management.



Call Inforalgo on: **+44 (0)121 708 1155**
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Inforalgo's solutions and services are built on more than 30 years' rich experience in providing intelligent data management solutions and services to Capital Markets clients globally. Our team comprises some of the brightest minds in financial data science, who specialise in helping buy and sell-side organisations automate the flow of their pre- and post-trade data. Our products are trusted by many of the world's top financial institutions.